Physical Layer Performance (Chapter 11)

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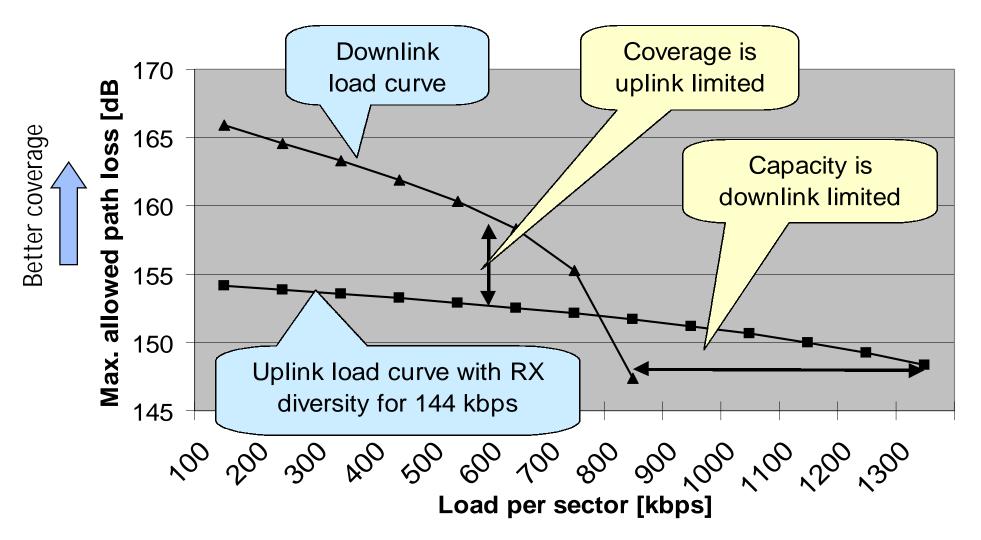


Outline

- Coverage
- **Capacity**
- High bit rates
- Diversity gain (antenna, multipath, macro)
- Packet data
- **Compressed mode**



144 kbps Coverage / Capacity Trade-off in Macro Cells

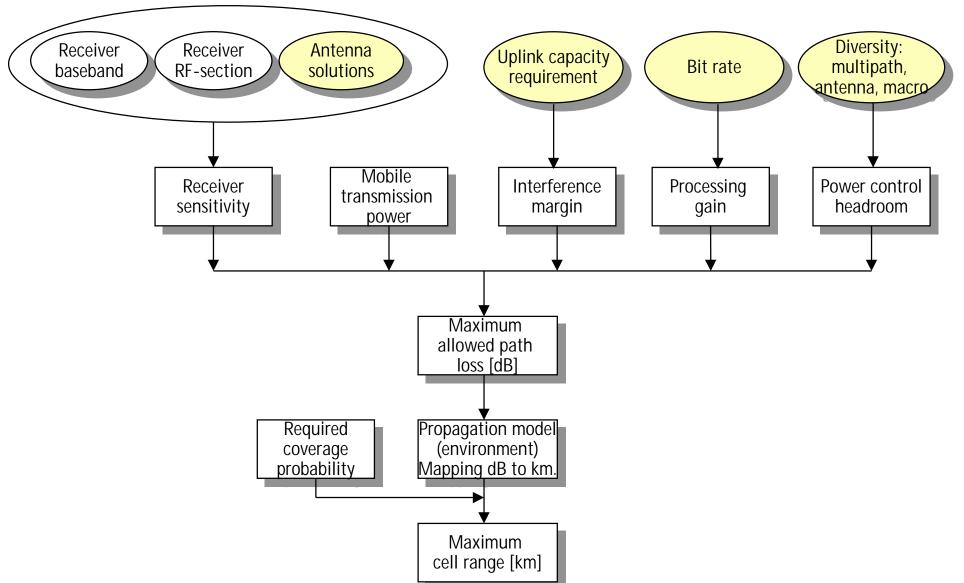




Uplink Coverage



Uplink Coverage



GSM Co-siting

| | GSM900 / speech | GSM1800 / speech | WCDMA / speech | WCDMA / 144 kbps | WCDMA / 384 kbps |
|--|--------------------|---------------------|-------------------|---------------------|---------------------|
| Mobile transmission power | 33 dBm | 30 dBm | 21 dBm | 21 dBm | 21 dBm |
| Receiver sensitivity ¹ | -110 dBm | -110 dBm | -124 dBm | –117 dBm | -113 dBm |
| Interference margin ² | 1.0 dB | 0.0 dB | 2.0 dB | 2.0 dB | 2.0 dB |
| Fast fading margin ³ | 2.0 dB | 2.0 dB | 2.0 dB | 2.0 dB | 2.0 dB |
| Base station antenna gain ⁴ | 16.0 dBi | 18.0 dBi | 18.0 dBi | 18.0 dBi | 18.0 dBi |
| Body loss ⁵ | 3.0 dB | 3.0 dB | 3.0 dB | _ | _ |
| Mobile antenna gain ⁶ | 0.0 dBi | 0.0 dBi | 0.0 dBi | 2.0 dBi | 2.0 dBi |
| Relative gain from lower frequency compared to UMTS frequency ⁷ | 11.0 dB | 1.0 dB | _ | _ | _ |
| Maximum path loss | 164.0 dB | 154.0 dB | 156.0 dB | 154.0 dB | 150.0 dB |
| | | | SRC: 158.5 dB | SRC: 156.5 dB | SRC: 152.5 dB |

 1 WCDMA sensitivity assumes 4.0 dB base station noise figure and E_b/N_0 of 5.0 dB for 12.2 kbps speech, 1.5 dB for 144 kbps and 1.0 dB for 384 kbps data. GSM sensitivity is assumed to be -110 dBm with receive antenna diversity.

SRC = Nokia's Smart Radio Concept

144 kbps full coverage with GSM1800 sites

Downlink coverage of high bit rates is better than uplink coverage



²The WCDMA interference margin corresponds to 37% loading of the pole capacity. An interference margin of 1.0 dB is reserved for GSM 900 because the small amount of spectrum in 900 MHz does not allow large reuse factors.

³The fast fading margin for WCDMA includes the macro diversity gain against fast fading.

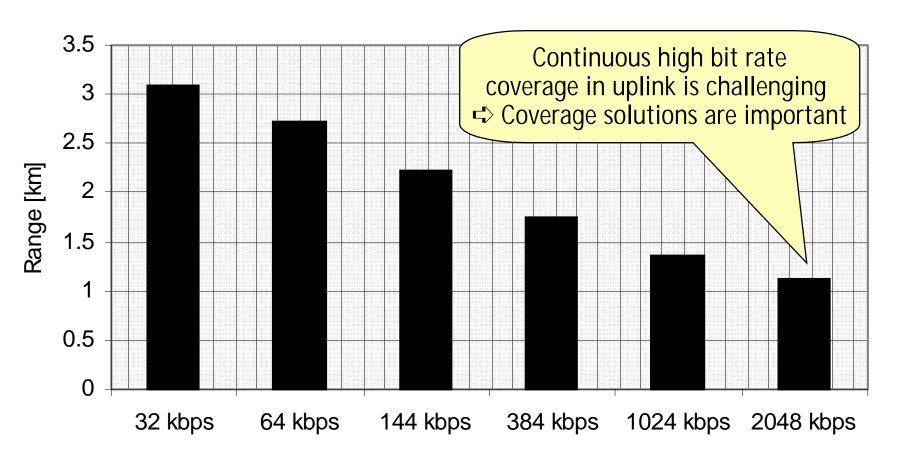
⁴T he antenna gain assumes three-sector configuration in both GSM and WCDMA.

⁵T he body loss accounts for the loss when the terminal is close to the user's head.

⁶A 2.0 dBi antenna gain is assumed for the data terminal.

⁷The attenuation in 900 MHz is assumed to be 11.0 dB lower than in UMTS band and in GSM1800 band 1.0 dB lower than in UMTS band.

Uplink Coverage of Different Bit Rates



Suburban area with 95% outdoor location probability



Multipath Diversity Gain for Coverage

- Simulation parameters
 - Required received Eb/N0 shown
 - 2 branch receiver diversity assumed in the base station
 - FER=1% with speech
 - Full constant power (at the cell edge)
 - ITU Vehicular A has more multipath than ITU Pedestrian A

| | E_{p}/N_{o} |
|---|---------------|
| ITU Pedestrian A (less multipath diversity) | 11.3dB |
| ITU V ehicular A (more multipath diversity) | 8.5dB |
| Multipath diversity gain | 2.8dB |

- Multipath diversity gain in this case 2.8 dB gain in uplink coverage
- The amount of multipath diversity depends on the chip rate
 - ITU Vehicular A with WCDMA may be equal to ITU Pedestrian A with narrowband CDMA



Macro Diversity Gain for Coverage

- Simulation parameters
 - Required received Eb/N0 shown
 - 2 branch receiver diversity assumed in the base station
 - FER=1% with speech
 - Full constant power (at the cell edge)
 - Two cases:
 - the same average attenuation to both base station (best case)
 - 3dB difference between the base stations

| E_b/N_0 | ITU Pedestrian A | ITU Vehicular A |
|-----------------------------|------------------|-----------------|
| Single link | 11.3 dB | 8.5 dB |
| Macro diversity result | 7.3 dB / 8.6 dB | 6.3 dB / 7.7 dB |
| Best case / 3 dB difference | | |
| Macro diversity gain | 4.0 dB / 2.7 dB | 2.2 dB / 0.8 dB |
| Best case / 3 dB difference | | |

- Macro diversity gain for uplink coverage
 - ITU Pedestrian A: 2.7 4.0 dB
 - ITU Vehicular A: 0.8 2.2 dB



BS Antenna Diversity Gain for Coverage

Simulation parameters

- Required received Eb/N0 shown
- FER=1% with speech
- Full constant power (at the cell edge)

| E_b/N_0 | ITU Pedestrian A | ITU Vehicular A |
|---------------------------------|------------------|-----------------|
| With one receiver antenna | 18.8_dB | 12.8_dB |
| With receiver antenna diversity | 11.3_dB | 8.5_dB |
| Antenna diversity gain | 7.5_dB | 4.3_dB |

With antenna diversity

- 3dB gain because coherent combining for signals and non-coherent for noise
- +diversity gain

Antenna diversity gain

ITU Pedestrian A: 7.5dB

ITU Vehicular A: 4.3dB



Example Performance Verification in Field MeasurementsFour Branch Antenna Diversity

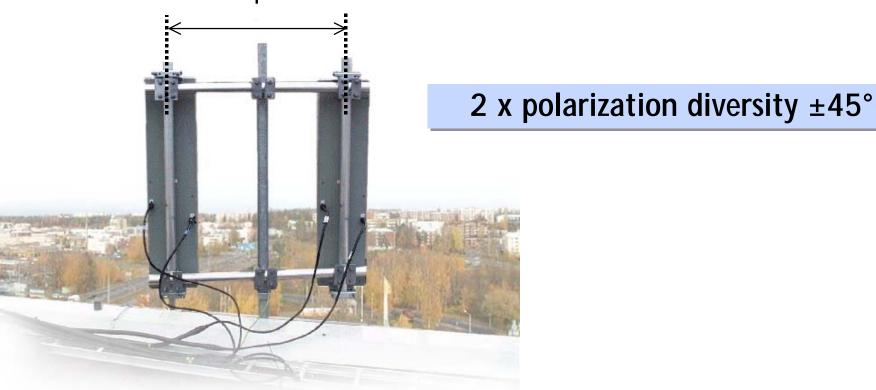
Suburban, urban, dense urban



Field Measurements

- Measurements done with Nokia WCDMA experimental system in Espoo / Finland and in Singapore
- Environment: urban / sub-urban in Espoo and dense urban in Singapore

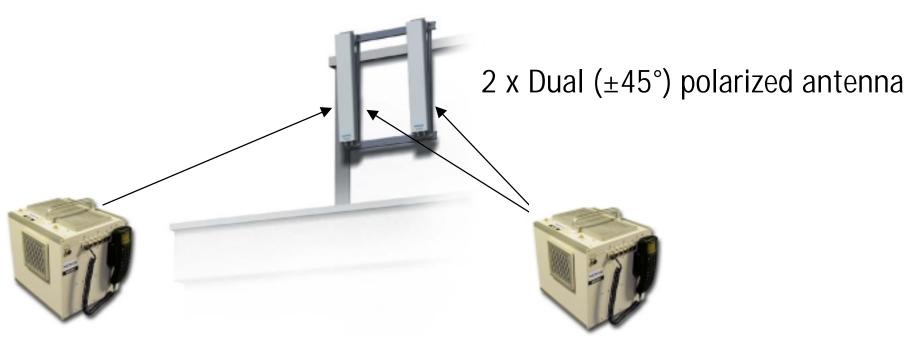
Antenna separation ~1.0 m





Field Measurements

- What is the coverage gain of 4-branch diversity over normal 2branch receiver diversity?
- We measured the reduction of WCDMA mobile transmission power with 4-branch reception compared to 2-branch reception



(1) Record mobile tx power with 2-rx base station reception

(2) Record mobile tx power with 4-rx base station reception



Measurements Parameters

| Bit rate | 8 kbps |
|--|--|
| FER target in the outer loop | 1% |
| Channel estimation and Rake allocation | From pilot symbols |
| Number of Rake fingers per connection | 8 |
| Interleaving depth | 10 ms, 1 radio frame |
| Base station antennas | Espoo: ±45° with 15.5 dBi gain (Aerial) Singapore: ±45° with 18 dBi gain (Kathrein) |
| Mobile station antenna | Within measurement van, vertical |

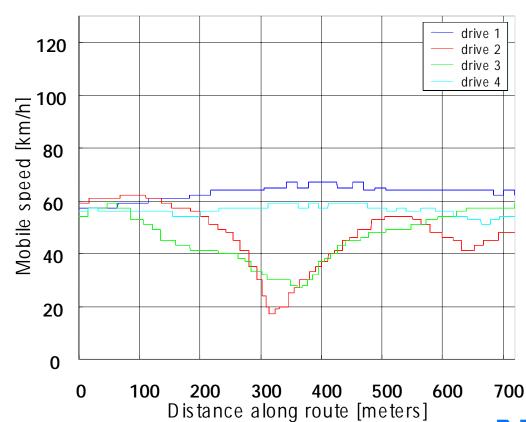


Measurement Routes in Espoo

| Route A | up to 40 km/h in Leppävaara / Lintuvaara |
|---------|--|
| Route B | up to 70 km/h on Ring I |
| Route C | below 10 km/h in Mäkkylä |

Example mobile speeds on Route B

Differential GPS is used to make the iterations comparable





Measurement Results in Espoo

Route A

| Antenna separation | 2-rx | 4-rx | 4-rx gain |
|--------------------|----------|----------|-----------|
| 1 m separation | 6.95 dBm | 4.44 dBm | 2.5 dB |
| No separation | 6.95 dBm | 4.83 dBm | 2.1 dB |

Route B

| Antenna separation | 2-rx | 4-rx | 4-rx gain |
|--------------------|----------|----------|-----------|
| 1 m separation | 7.90 dBm | 4.59 dBm | 3.3 dB |
| No separation | 7.90 dBm | 4.86 dBm | 3.1 dB |

Route C

| Antenna separation | 2-rx | 4-rx | 4-rx gain |
|--------------------|----------|----------|-----------|
| 1 m separation | 5.63 dBm | 2.54 dBm | 3.0 dB |



Measurement Results in Singapore

- Singapore measurements are done in China town
- Measurement environment is dense urban

| Antenna separation | 2-rx | 4-rx | 4-rx gain |
|--------------------|-----------|-----------|-----------|
| 1 m separation | 17.26 dBm | 14.56 dBm | 2.7 dB |
| No separation | 17.26 dBm | 14.76 dBm | 2.5 dB |



Simulations vs. Measurements

| | Simulation | Measurement |
|---|------------------|----------------------|
| Reduction of MS tx power with 1.0 m separation | 2.9 dB | 2.5-3.3 dB |
| Reduction of MS tx power without any separation | 0.5 dB less gain | 0.2-0.4 dB less gain |

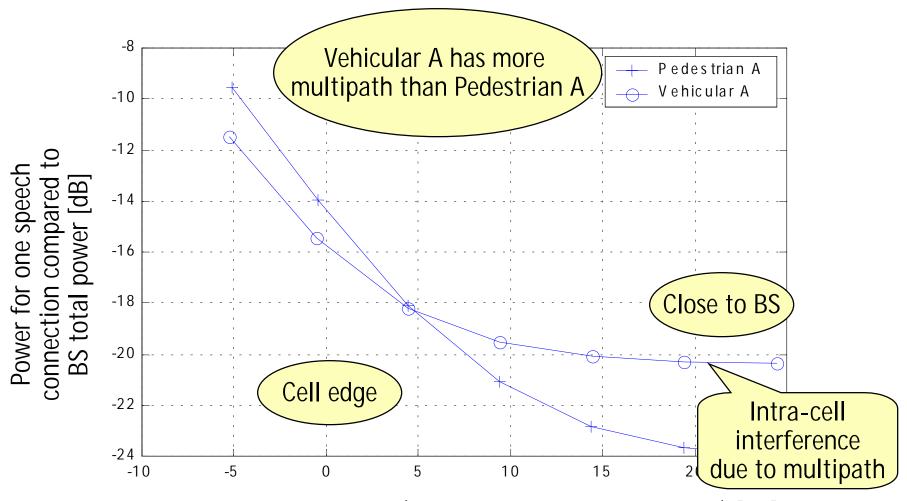
- These results are with fast power control
- The gain is slightly higher at the edge of the coverage area where the mobile is transmitting close to its full power, up to 0.5 dB more gain in simulations



Downlink Capacity



Downlink Orthogonal Codes



Own cell interference / (other cell interference + noise) [dB]



Capacity in Macro vs. Micro Environments

Packet data throughput, calculated with CDMA capacity formulas

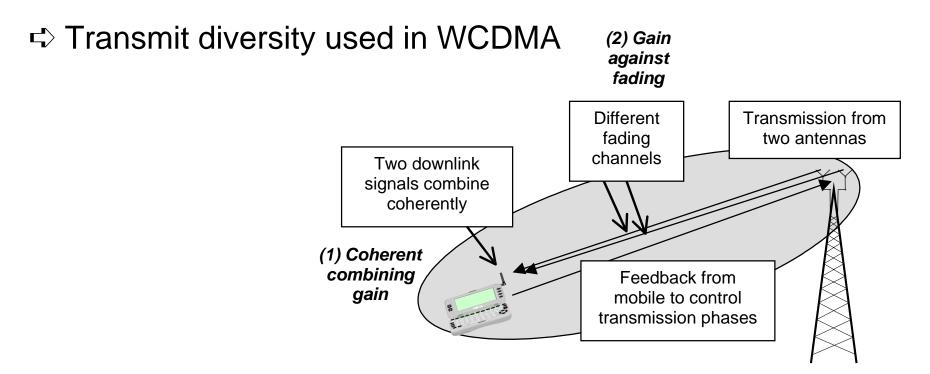
| | Assumptions | | Micro cell: |
|--|-------------|------------|-------------------------|
| | Macro cell | Micro cell | higher orthogonality |
| D ownlink orthogonality | 0.6 | 0.95 | |
| O ther-to-own cell interference ratio <i>i</i> | 0.65 | 0.2 | |
| Uplink E_b/N_0 | 1.5 dB | 1.5 dB | Micro cell: higher |
| Uplink loading | 60% | 60% | isolation between cells |
| Downlink E_b/N_0 | 5.5 dB | 8.0 dB | isolation between sons |
| Downlink loading | 80% | 80% | |
| | Results | | These figures without |
| | Macro cell | Micro cell | transmit diversity |
| Uplink | 1040 kbps | 1430 kbps | transmit diversity |
| Downlink | 660 kbps | 1440 kbps | |

- Downlink capacity is more sensitive to the environment because of orthogonal codes (other cell interference affects more downlink)
- Micro cells provide a higher capacity due to less multipath



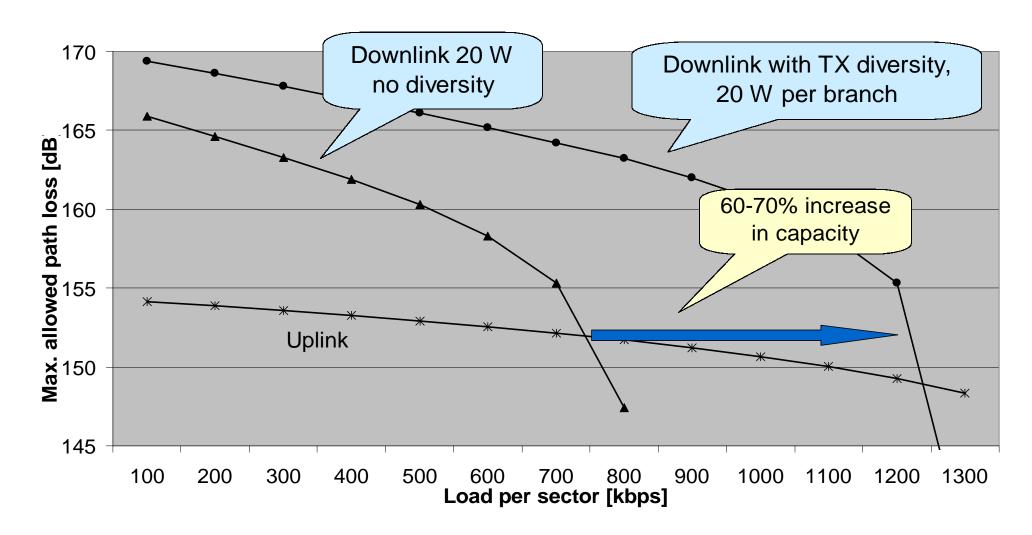
Downlink Transmit Diversity

- More need for downlink capacity than for uplink capacity
 - Asymmetric capacity requirements, with bias in downlink
- Terminal complexity to be minimized
 - Receiver diversity can be used in base station, not feasible in mobile





Downlink Capacity Enhancement with Transmit Diversity





High bit rates (2 Mbps)



Link Level Performance of High Bit Rates (1)

- For low processing gain (high bit rates) inter-symbol interference (ISI) causes degradation in multipath channels
- 2 Mbps / 3.84 Mcps

 Processing gain < 3 dB

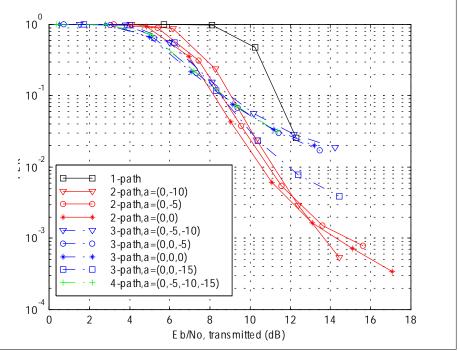
FER vs. received E_b/N_0

degradation due to ISI

 $2-path_{,a}=(0,-10)$ 2-path.a=(0.-5)3-path,a=(0,0,-5) $4-path_{a}=(0,-5,-10,-15)$ 10 12 Eb/No, received (dB)

FER vs. average transmitted power

multipath diversity gain



Link Level Performance of High Bit Rates (2)

- 2 Mbps packet data works with WCDMA even with a simple Rake receiver
- Some degradation due to inter-symbol interference
 - required received power in 2-path channel was about 1.8 dB higher than in 1-path channel
- Multipath diversity gain is still higher than inter-symbol interference
 - transmitted power in 2-path channel was about 2.3 dB better than in 1-path channel
- Performance improvements possible with ISI cancellation in the receiver (~equalizer)



Downlink Coverage of 2 Mbps

- How large is 2 Mbps packet data coverage compared to 144 kbps speech coverage in downlink?
- Assumptions
 - Power allocation assumption: 1 W power is allocated for 144 kbps users, more power can be allocated for 2 Mbps, let's assume 10W
- Results
 - Difference from bit rates 10*log10(144/2000) = -11.4 dB
 - Difference from power allocation 10*log10(10/1.0) = 10.0 dB
 - Total = -1.4 dB
- Good coverage of high bit rates is possible in downlink with GSM1800 sites
- But, 2 Mbps in every cell (also cell edge) at the same time requires high capacity

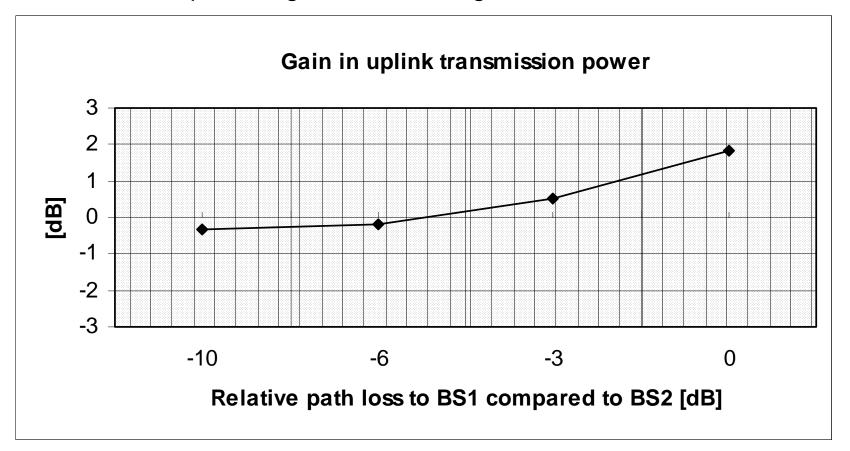


Handovers



Macro Diversity Gain in Uplink

- Mobile tx power can be reduced during macro diversity since there are 2 or more base stations receiving the signal
- Gain in mobile tx power against fast fading shown below

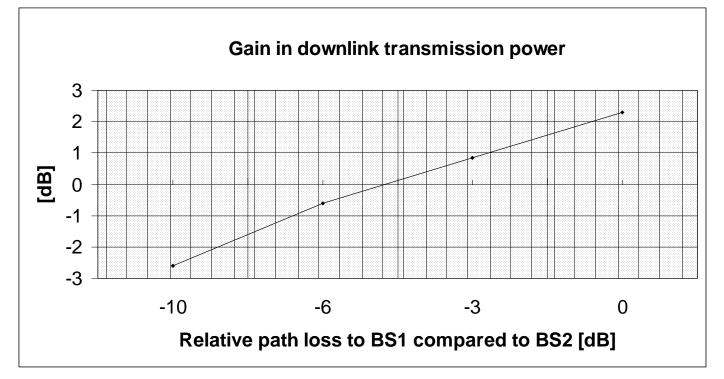




Macro Diversity Gain in Downlink

- Macro diversity in downlink
 - provides more diversity
 - requires two transmission links
- If the path loss to both base stations is the same, macro diversity reduces the total required transmission power in downlink

Both transmission links are taken into account





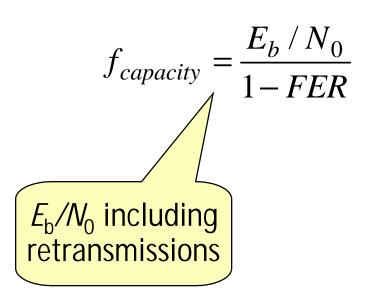
Packet Data

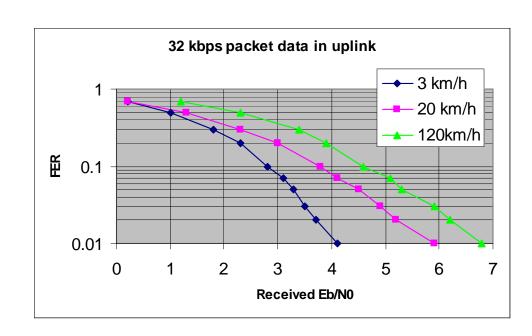


Packet Data, Link Level Performance

 Link level performance: FER vs. Eb/N0

$$Throughput_{cell} = \frac{W}{k} \frac{1 - FER}{E_b / N_0}$$



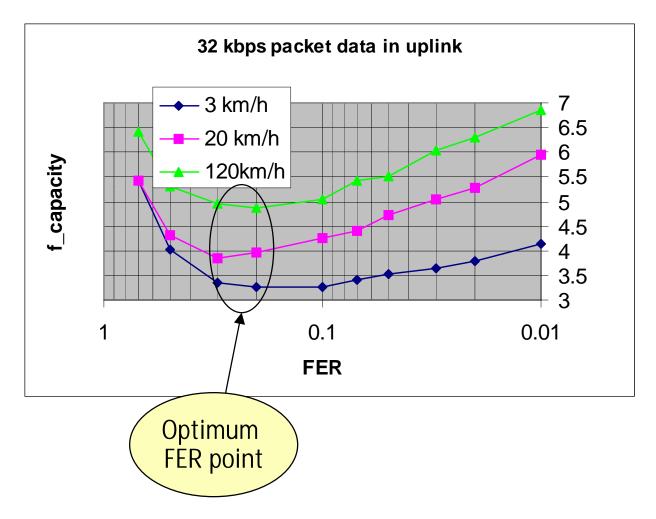


- What is the optimum FER operation point for packet data when we take into account retransmissions?
- The smaller $f_{capacity}$, the larger the capacity



Packet Data, Link Level Performance

Optimal FER operation point 10-30 % to maximize the capacity



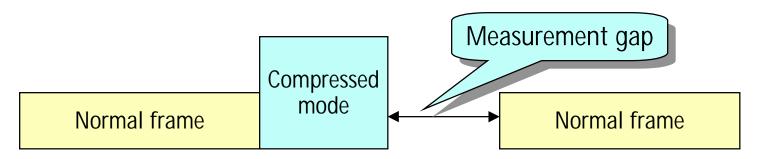


WCDMA Compressed Mode



WCDMA Compressed Mode

| | WCDMA | IS-95A | GSM |
|-----------------------------------|--|---|--|
| Why inter-frequency measurements? | For inter-frequency & inter-system handovers | measurements => utilization of multiple frequencies frequencies measurements => utilization of discontinu | For all handovers |
| How to make IF- measurements | • | | Simple since discontinuous tx & rx |



- More power is needed during compressed mode => affects WCDMA coverage
- Power control cannot work during compressed frame => higher Eb/N0 => affects WCDMA capacity



Performance of Compressed Mode: Capacity

| Assumption | Effect to the capacity |
|--|----------------------------------|
| Required Eb/N0 is 1.5 dB higher during compressed frames | 1.5 dB = 41% more interference |
| Every 3rd frame is compressed | 41% /3 = 14% more interference |
| 10% of the users are measuring at the same time | 14% /10 = 1.4% more interference |

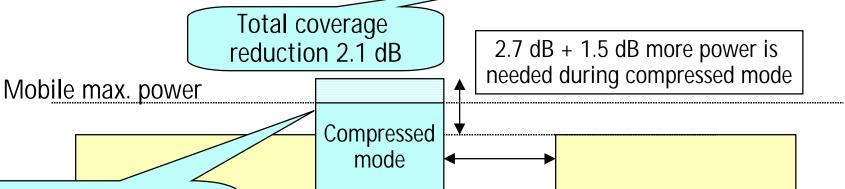
Capacity degradation < 2%

Exact increase in Eb/NO depends on service, multipath diversity, antenna diversity, mobile speed, uplink or downlink, measurement gap length, compressed mode method (spreading factor or puncturing), and 1.5 dB represents a typical value.



Performance of Compressed Mode: Coverage

| Assumption | Effect to the capacity of real time services | |
|--|--|--|
| Required Eb/N0 is 1.5 dB higher during compressed frames | 1.5 dB reduced coverage | |
| 7-slot gap is used | 10*log10(15/8) = 2.7 dB reduced coverage | |
| Every 2nd frame is compressed with 20 ms interleaving (speech) | 4.2 dB / 2 = 2.1 dB reduced coverage | |



Frame error if mobile hits its maximum power

- Non-real time services can reduce the bit rate during compressed frames → no effect to coverage
- Speech connection can switch to a lower AMR mode.

